

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
MARK A. HENNINGER, ET AL. )  
Application Number: Unassigned )  
Filed: Unassigned )  
Title: COMPENSATORY RATIO HEDGING )  
Attorney Docket No.: 00-416 )



Peoria, Illinois 61629-6490

December 15, 2000

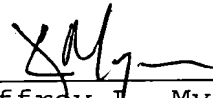
Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

The following information and attached material are submitted for the Examiner's consideration relative to one or more of the claims in the above-identified application. A PTO 1449 (SB08) form and a copy, if required, of each item listed thereon are attached.

Respectfully submitted,

  
\_\_\_\_\_  
Jeffrey L. Myers  
Registration No. 44,252  
Caterpillar Inc.

Telephone: (309) 636-1843  
JLM:kko  
Enc.

+

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

00-416

PTO  
2. 1

38205  
09/738618

12/15/00

[illegible][illegible]

Considered

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

+

+

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Completing if Known</b>	
				Application Number	(Unassigned)
				Filing Date	(Herewith)
				First Named Inventor	Mark A. Henninger ET AL.
				Group Art Unit	(Unassigned)
				Examiner Name	(Unassigned)
				Attorney Docket Number	00-416
Sheet	2	of	2		

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

+